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Time dependent behavior of a localized electron at a heterojunction boundary of graphene

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We develop a finite-difference time-domain (FDTD) method for simulating the dynamics of graphene electrons, denoted GraFDTD. We then use GraFDTD to study the temporal behavior of a single localized electron wave packet, showing that it exhibits optical-like dynamics including the Goos–Hänchen effect [F. Goos and H. Hänchen, *Ann. Phys.* **436**, 333 (1947)] at a heterojunction, but the behavior is quantitatively different than for electromagnetic waves. This suggests issues that must be addressed in designing graphene-based electronic devices analogous to optical devices. GraFDTD should be useful for studying such complex time-dependent behavior of a quasiparticle in graphene. © 2010 American Institute of Physics. [doi:10.1063/1.3454909]

The graphene two-dimensional (2D) carbon material has two π electrons and two atoms per unit cell, resulting in a semimetallic electronic band with a conical intersection at the Fermi energy (the K point of the Brillouin zone). Thus charge carriers near the Fermi energy behave like 2D massless relativistic particles exhibiting a linear (photonlike) dispersion relation, which is effectively described by the Dirac equation with Fermi velocity $v_F \approx 10^6$ m/s as follows:¹

$$[-i\hbar v_F \vec{\sigma} \cdot \vec{\nabla} + U]\Psi = i\hbar \frac{\partial \Psi}{\partial t}, \quad (1)$$

where U is the external electric potential and $\vec{\sigma} = (\sigma_x, \sigma_y)$ are the Pauli matrices.

This enables an analogy between the quantum wave nature of graphene electronics and the electromagnetic (EM) waves in dielectrics described by Maxwell equations within the electron mean free path scale. For example, graphene electrons can exhibit electronic left-handed materials,² quantum Goos–Hänchen (GH) shift,^{3,4} Bragg reflectors,⁵ and wave guides.⁶ All previous theoretical studies of these properties for graphene were carried out analytically, limiting the analysis to stationary solutions such as finding confined modes^{6,7} or describing plane waves.^{2–5,8} Such descriptions do not provide an understanding of the dynamics of *localized electron wave packets*, which can be essential in tracing the position of the electron.

This letter addresses the following questions: (1) do the optical-like behavior formulated in the wavelike point of view of the graphene electron remains valid when one includes the particlelike character of spatially localized electron wave packets? (2) Can the graphene electron's exotic tunneling behavior (Klein tunneling) or the GH shift be observed in the time-resolved dynamics? In order to clarify such questions, we developed the “GraFDTD” method to calculate numerically the time evolution of the de Broglie

wave for the excited graphene electrons. In this letter, we use GraFDTD to investigate the scattering behavior of an electron wave packet at a heterojunction boundary. Then, we compare our results with analytical results based on the plane wave description and with the dynamics of EM waves at the interface of two different dielectric media.

The electronic wave function in graphene is described by a two-component isospinor $\Psi = (\psi_1, \psi_2)$ resulting from having two atoms per unit cell. To describe the time evolution of Eq. (1), we discretize the time domain using the velocity Verlet algorithm, which has the virtue that it is a second order symplectic integrator allowing us to sample both ψ_1 and ψ_2 simultaneously. The spatial derivatives of ψ_1 and ψ_2 are carried out using the finite difference method by discretizing the 2D space with Δx and Δy , yielding a $(M \times N)$ rectangular grid. The detailed time-update equations of ψ_1 and ψ_2 are provided as a supplementary material.⁹

This simulation scheme which updates two pseudospin components alternately resembles the finite-difference time-domain (FDTD) simulation method for EM wave modeling,¹⁰ which updates electric field and magnetic field alternately.

Here, we consider a square shaped graphene and choose ordinary Cartesian coordinates with the x and y axes parallel to the sides of the graphene sheet as shown in Fig. 1. Details on the simulation cells are described in the supplementary material.⁹

To achieve a heterojunction, experimentalists have adjusted the gate voltage on materials adjacent to the graphene sheet. In simulations, we set an external electric potential U depending on the incident angle θ_I as u_1 where $y < x \tan \theta_I$ and u_2 where $y \geq x \tan \theta_I$. We use four potential profiles $(u_1, u_2) = (0, 0.5E_F)$, $(0, 1.5E_F)$, $(0.5E_F, 0)$, and $(1.5E_F, 0)$, denoted as case 1, 2, 3, and 4. The Fermi energy is chosen to be $E_F = 0.276$ eV which leads to $\lambda = \hbar v_F / E_F = 15$ nm for the de Broglie wavelength.

The localized electron (described as a Gaussian wave packet of 50 nm size) is generated at $y=0$ boundary.⁹ Since

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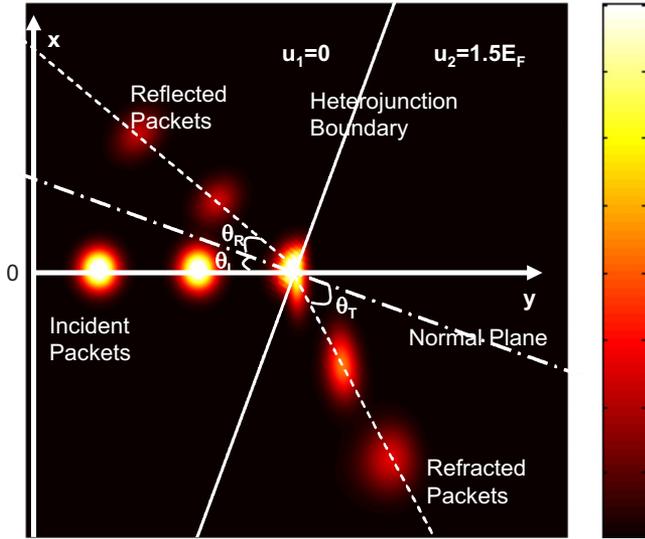


FIG. 1. (Color online) Dynamics of a Gaussian electron wave packet at a heterojunction interface. Snapshots are taken at every 400 fs and displayed simultaneously. Wave packet is colored by the probability density. The incident packet is introduced along the y -axis. Physical parameters are chosen to be $\theta_I=20^\circ$ and $(n_1, n_2)=(1, -0.5)$.

the linear dispersion relation is valid within the ballistic transport regime, spatial localization within the mean free path is a more reasonable model of the graphene electron rather than a plane wave description. Experimentally, the graphene system is known to have a mean free path of several 100 nm,^{11,12} much larger than the lattice constant of 0.247 nm. Thus, we consider that a spatial localization of 50 nm provides a reasonable description of the graphene electrons.

When a de Broglie wave of an electron approaches the heterojunction interface, the electron wave packet is split into two parts, one transmitted and one reflected. By tracking the position of the wave packet, $\langle \mathbf{x} \rangle = \int \Psi^\dagger \mathbf{x} \Psi dx dy$, we obtain the angle of reflection θ_R and refraction θ_T , as a function of the angle of incidence θ_I . The result shows that the law of reflection ($\theta_I = \theta_R$) and the Snell's law ($n_1 \sin \theta_I = n_2 \sin \theta_T$) remain valid in the graphene electron system. Here, we introduced the effective refractive index for graphene electrons, $n = 1 - (u/E_F)$.²

At a heterojunction, we calculate the probability of transmission T_{GE} by integrating the probability density over the region $y \geq x \tan \theta_I$. On the other hand, analytical solutions of T_{GE} for a electronic plane waves can be expressed in terms of θ_I and θ_T ,^{8,13}

$$T_{GE} = \frac{\cos \theta_I \cos \theta_T}{\cos^2[(\theta_I + \theta_T)/2]}. \quad (2)$$

Figure 2 shows how T_{GE} changes upon varying θ_I from both the simulations (dots) and the theory (solid lines). These results show that the simulations using Gaussian wave packets agree well with plane wave solutions.

Interestingly, we find that T_{GE} approaches unity at normal incidence regardless of the potential height, which is impossible for the electrons obeying the standard Schrödinger equation. This unique phenomenon is referred as a Klein tunneling.¹⁴ Indeed, even for the potential wall 1.5 times higher than the electron energy, $T_{GE}=0.98$ for the normal incidence [see Fig. 2(b)]. Furthermore, we confirmed

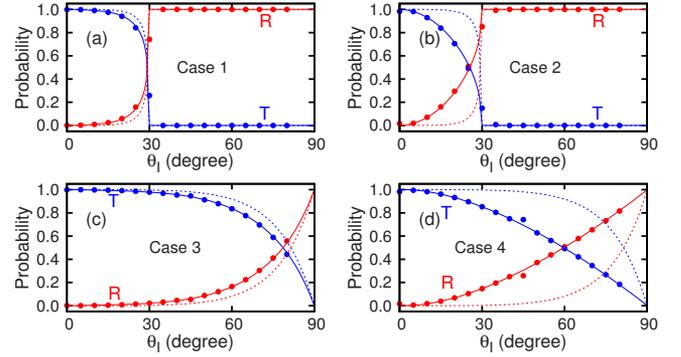


FIG. 2. (Color online) Transmittance (T) and reflectance (R) vs incidence angle θ_I for (a) case 1, (b) case 2, (c) case 3, and (d) case 4. Simulation results for T_{GE} and R_{GE} (circles) agree with the analytic results (solid lines) and are compared with the T_{EM} and R_{EM} for EM waves (dotted lines).

that the Klein tunneling happens for the higher potential wall in the limit of infinitesimal grid size.⁹ Recently, conductance experiments have demonstrated Klein tunneling using low energy excited graphene electrons.^{15,16}

When the impedances of two optical media are matched, the transmittance becomes identity for normal incidence like the Klein tunneling of graphene electrons. Therefore, this impedance matched interface (IMI) can be regarded as an optical counterpart for the heterojunction of the graphene system. Based on this condition, the transmittances of the TE and TM wave become identical to each other, which is expressed as¹⁷

$$T_{EM} = \frac{\cos \theta_I \cos \theta_T}{[(\cos \theta_I + \cos \theta_T)/2]^2}. \quad (3)$$

We notice from Fig. 2 that T_{GE} and T_{EM} have a very similar form, having identical critical angles $\theta_c = \sin^{-1}(n_2/n_1)$ for total internal reflection and a similar convex decay pattern as θ_I increases. Mathematically, however, $T_{EM} \geq T_{GE}$ for $\theta_I \in [0^\circ, 90^\circ]$ indicating that for the IMI the EM wave transmits better than the graphene electron for the heterojunction boundary. Moreover, T_{GE} shows a dramatic change depending on the sign of n (i.e., depending on the chirality), while T_{EM} depends only on the magnitude of n .

When the graphene electron undergoes the total internal reflection at the heterojunction boundary, we observe that the center of the Gaussian wave packet is shifted by a distance d_{GE} . This singular behavior is referred as a GH shift in the graphene system, as proposed recently by Zhao and Yelin³ and refined by Beenakker *et al.*⁴ According to the analytical calculation by Beenakker *et al.*, the d_{GE} is

$$d_{GE} = \frac{\lambda}{2\pi} \frac{\sin^2 \theta_I + n}{\sin \theta_I \cos \theta_I \sqrt{\sin^2 \theta_I - n^2}}, \quad (4)$$

where $n = n_1/n_2$. We obtain d_{GE} from our simulations from a linear fit to $\langle \mathbf{x} \rangle$ before and after the reflection at the boundary. Figure 3 shows the dependence of these values on θ_I which agrees well with the Eq. (4) including the sign change in GH shift around $\theta_I = \sin^{-1} \sqrt{\sin \theta_c} = 45^\circ$ at the p-n boundary. Since this sign change phenomenon was predicted by Beenakker *et al.* but not from the work of Zhao and Yelin, our simulation supports Beenakker's consideration of the pseudospin degree of freedom.

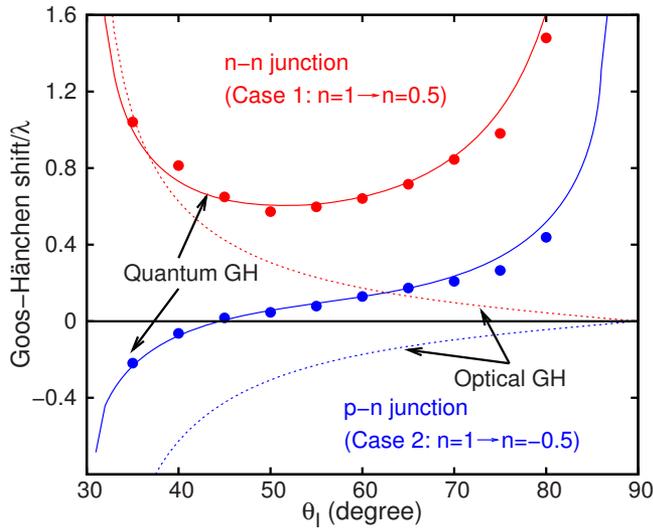


FIG. 3. (Color online) GH shift vs the incidence angle θ_i for $(n_1, n_2) = (1, 0.5)$ and $(1, -0.5)$. Simulation results for d_{GE} (circles) agree with the previous analytical results (solid lines) and are compared with the GH effect for EM waves d_{EM} (dotted lines).

The Beenakker's analytical calculation considered a Gaussian beam, whose pseudospin components are centered at different positions caused by the transverse momentum localization. In contrast, our simulation considers a more general wave packet, whose pseudospin components are localized at the same position. The excellent correspondence between numerical and analytical results (beyond the slightly different interpretations on the wave packets) supports the presence of quantum GH effect and the validity of the Eq. (4).

The GH effect, a lateral shift that a linearly polarized light beam experiences in total internal reflection, has been known for optical systems.¹⁸ It is interpreted in terms of a horizontal energy flow of an evanescent wave in the medium having a lower index of refraction during the total internal reflection. Figure 3 compares d_{GE} with d_{EM} , the optical GH shift at IMI, showing that the limiting behavior at $\theta_i \rightarrow \theta_c$ is analogous for both optical and graphene systems. However, the limiting behavior at $\theta_i \rightarrow 90^\circ$ is totally different since d_{EM} is suppressed while d_{GE} diverges to infinity. In addition, the optical system does not exhibit the sign change behavior. These differences lead to a more restricted incident angle window for the graphene system to exhibit the negative GH effect compared to the optical systems.

Summarizing, we used the GraFDTD method to study the dynamics of low energy excited graphene electrons at heterojunction boundaries. These numerical simulations lead

the demonstrations of Snell's law, negative refraction, Klein tunneling, and quantum GH effect for graphene electrons using temporally and spatially resolved electron wave packets. These results extend the previous plane wave based analytical findings focused on the wavelike character of the graphene electron to the temporal behavior of the electron possessing the particlelike character. Additionally, we thoroughly discuss the similarities and differences of the graphene electron dynamics with their optical counterparts. Each of these is a key issue for graphene based device applications based on the optical analogy framework.^{2,3} As future works, we can now use this validated GraFDTD for investigation of graphene electron dynamics under the non-ideal potential shape of gate voltage, scattering behavior at the impurities, and electron transport using waveguides.

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